

Exhibit A

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1 UNITED STATES DISTRICT COURT
1 SOUTHERN DISTRICT OF NEW YORK

2 -----x

3 UNITED STATES OF AMERICA,

4 v.

S7 10 Cr. 228 (LTS)

5 PETER MADOFF,

6 Defendant.

7 -----x

7 June 29, 2012

8 11:00 a.m.

9 Before:

10 HON. LAURA TAYLOR SWAIN

11 District Judge

12 APPEARANCES

13 PREET BHARARA

13 United States Attorney for the
14 Southern District of New York

14 LISA A. BARONI

15 JULIAN J. MOORE

15 MATTHEW SCHWARTZ

16 Assistant United States Attorneys

17 LANKLER SIFFERT & WOHL LLP

17 Attorneys for Defendant

18 JOHN R. WING

18 CHARLES SPADA

19 JOANNE M. HARVEY

21 Also present:

22 AGENT PAUL TAKLA, FBI

22 AGENT PAUL ROBERTS, FBI

23 NICHOLE BROWN-MORIN, Pretrial Service

23 GARY SMITH, Paralegal, U.S. Attorney's Office

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Plea

1 the form was required to be filed with the SEC and that it
2 would be available to customers.

3 I also conspired with others to falsify employment and
4 payroll records of BLMIS including false reports that were
5 filed with the Department of Labor to allow my wife to receive
6 compensation and benefits as a no-show employee.

7 Finally, as to the securities fraud and mail fraud
8 objects of the conspiracy, when I first learned of the Ponzi
9 scheme in December of 2008, I agreed to assist my brother who
10 was planning to pay out remaining customer funds to a limited
11 number of customers -- specifically, family, friends and
12 employees -- thereby depriving the remaining investors of the
13 opportunity to share in those funds.

14 On the night of December 9, 2008, my brother told me
15 that his investment advisory business was a Ponzi scheme, and
16 that only a small fraction of the customer funds remained.
17 Bernie told me that he had made an appointment with his lawyer,
18 Ike Sorkin, and was planning to turn himself in to authorities.
19 I was in total shock, unable to absorb the full import of the
20 words I was hearing. Bernie told me that he was planning to
21 redeem the customer accounts by mailing redemption checks to
22 friends, family and employees, and he asked me to review a
23 marked-up customer list to identify any additional people who
24 should share in the limited remaining funds.

25 I was shocked and devastated, but nevertheless I did

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